

## On Word Equation of the Form $z_1^{k_1} z_2^{k_2} z_3^{k_3} \dots z_n^{k_n} = x^k$ In a Free Semigroup: A Further Extension

Syed Adnan Haider Ali Shah Bukhari  
 Faculty of Computer Science, Applied Economics Research Centre,  
 University of Karachi, Karachi, 75300, Pakistan

**Abstract:** Word equations of the form  $z_1^{k_1} z_2^{k_2} z_3^{k_3} \dots z_n^{k_n} = x^k$  are concerned in this study. In particular, I investigate the case where  $x$  is of different length than  $Z_i$ , for any  $i$  and  $k$  and  $k_i$  are at least 3, for all powers of the same word for all  $1 \leq i \leq n$ . It is also shown that this result implies a well-known result by Appel and Djourup about the more special case where  $k_i = k_j$  for all  $1 \leq i < j \leq n$ .

**Key words:** Word equations, Appel and Djourup's result

### INTRODUCTION

Word equation of the form

$$z_1^{k_1} z_2^{k_2} z_3^{k_3} \dots z_n^{k_n} = x^k \quad (1)$$

have long been interest, (Appel and Djourup, 1998; Lentin, 1965; Lyndon and Schutzenberger, 1962). Originally motivated from questions concerning equations in free groups of special cases of in free semi-groups were investigated. For example:

$$z_1^{k_1} z_2^{k_2} = x^k$$

is of rank 1 which was shown by Lyndon and Schutzenberger (1962) and Lentin (1965) investigated the solutions of:

$$z_1^{k_1} z_2^{k_2} z_3^{k_3} = x^k$$

which has solutions of higher rank, see Example 1 and Appel and Djourup (1998) investigated

$$z_1^k z_2^k z_3^k \dots z_n^k = x^k$$

We show in theorem 3 of this study that equations of the form (1) are rank of 1, if all exponents are larger than 2 and  $n \leq k$  and  $x$  is not a conjugate of  $z_i$  for any  $1 \leq i \leq n$ . This result straightforwardly implies theorem 4 by Appel and Djourup (1998).

We continue with fixing some notations. More basic definition can be found in (Lothaire, 1983). Let  $A$  be a finite set and let  $A^*$  be a free monoid generated by  $A$ . We

call  $A$  alphabet and the elements of  $A^*$  words. Let  $w = w_{(1)} w_{(2)} \dots w_{(n)}$ , where  $w_{(i)}$  is a letter, for every  $1 \leq i \leq n$ . We denote the length  $n$  of  $w$  by  $|w|$ . An integer  $1 \leq p \leq n$  is a period of  $w$ , if  $w_{(i)} = w_{(i+p)}$  for all  $1 \leq i \leq n-p$ . A non-empty word  $u$  is called a border of a word  $w$ , if  $w = uv = v'u$  for some suitable words  $v$  and  $v'$ . We call  $w$  bordered, if it has a border that is shorter than  $w$ , otherwise  $w$  is called unbordered. A word  $w$  is called primitive if  $w = u^k$  implies that  $k = 1$ . We call two words  $u$  and  $v$  conjugates, denoted by  $u \sim v$ , if  $u = xy$  and  $v = yx$  for some words  $x$  and  $y$ . Let  $[u] = \{v \mid u \sim v\}$  and  $w^* = \{w_i \mid i \geq 0\}$ .

Let  $\Sigma$  be an alphabet. A tuple  $(u, v) \in \Sigma^* \times \Sigma^*$  is called word equation in  $\Sigma$ , usually denoted by  $u = v$ . Let  $u, v \in \Sigma^*$  be such that every letter of  $\Sigma$  occurs in  $u$  or  $v$ .

A morphism denoted by  $\varphi: \Sigma^* \rightarrow A^*$  is called solution of  $u = v$ , if  $\varphi(u) = \varphi(v)$ . The rank of a solution  $\varphi$  of an equation  $u = v$  is the maximum rank of a free subsemigroup that contains  $\varphi(\Sigma)$ . The rank of an equation is the maximum rank of all its solutions.

### RESULTS

The following theorem was shown by Fine and Wif, (1965). As usual  $\gcd$  denotes the greatest common divisor.

**Theorem 1:** Let  $w \in A^*$  and  $p$  and  $q$  be periods of  $w$ . If  $|w| \geq p+q-\gcd\{p,q\}$  then  $\gcd\{p,q\}$  is a period of  $w$ .

The following lemma is consequence of theorem 1; (Halava *et al.*, 2000).

**Lemma 1:** Let  $w \in A^*$  and  $p$  be the smallest period of  $w$ . Then for any period  $q$  of  $w$ , with  $q \leq |w| - p$ , we have that  $q$  is a multiple of  $p$ .

The following theorem follows Lyndon and Schutzenberger (1962) 's proof for free groups. Harju and Nowtha (2004) presents a short direct proof and the following Lemma 2.

**Theorem 2:** Let  $x, y, z \in A^*$  and  $i, j, k \geq 2$ . If  $x^i = y^j z^k$  then  $x, y, x \in w^*$  for some  $w \in A^*$ .

**Lemma 2:** Let  $x, z \in A^*$  be primitive and non-empty words. If  $z^m$  is a factor of  $x^k$  for some  $k, m \geq 2$ , then either  $(m-1)|z| < |x|$  or  $z$  and  $x$  are conjugates.

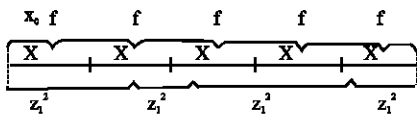
**Proof:** Assume that  $(m-1)|z| \geq |x|$ . Then  $z^m$  has two periods  $|x|$  and  $|z|$  and hence, a period  $\gcd(|x|, |z|)$  by theorem 1. Now,  $|x| = |z|$  and  $x$  and  $z$  are conjugates.

The following theorem is the main result of this study. It shows that the solutions of a word equation of the form  $z_1^{k_1} z_2^{k_2} z_3^{k_3} \dots z_n^{k_n} = x^k$  are necessarily of rank 1 under certain conditions.

**Theorem 3:** Let  $n \geq 2$  and  $x, z_i \in A^*$  and  $|x| \neq |z_i|$  and  $k, k_i \geq 3$ , for all  $1 \leq i \leq n$ . If  $z_1^{k_1} z_2^{k_2} z_3^{k_3} \dots z_n^{k_n} = x^k$  and  $n \leq k$  then  $x, z_i \in w^*$ , for some  $w \in A^*$  and all  $1 \leq i \leq n$ .

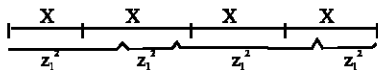
**Proof:** Assume that  $x, z_i$  for all  $1 \leq i \leq n$ , are primitive words. Note that  $|z_1^{k_1-1}| < |x|$  by lemma 2 and therefore  $|z_i| < |x|$  for all  $i$ .

If  $n < k$  then let  $f$  be an unbordered conjugate of  $x$  and  $x^k = x_0 f^{k-1} x^1$  with  $x = x_0 x_1$ . Let us illustrate this case with the following drawing.



By the pigeon hole principle there exists an  $i$  such that  $f$  is factor of  $z_i^{k_i}$ . But now,  $f$  is bordered; a contradiction.

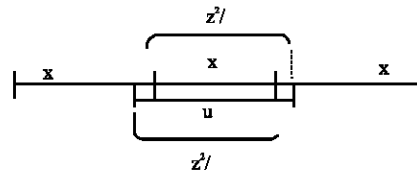
Assume that,  $n = k$  in the following. Let us illustrate this with the following drawing:



From  $k_i \geq 3$ , for all  $1 \leq i \leq n$ , follows that there exists a primitive word  $z \in A^*$  such that for every  $i$  with  $|x| \leq |z_i^{k_i}|$  we have that  $|z_i|$  is the smallest period of  $x$  and  $z_i \in [z]$  by lemma 1.

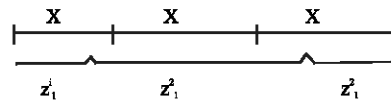
There exists an  $i$  such that  $|x| \leq |z_i^{k_i}|$  by a length argument. We also have for all  $1 \leq i \leq n$  that, if  $|x| \leq |z_i^{k_i}|$  then  $|z_i^{k_i+1}| < |x|$ , otherwise either  $z$  is not primitive or  $x \in Z_0^*$ , with  $z_0 \in [z]$  and  $x$  is not primitive. Similarly for  $z_{i-1}$ . Moreover, we have that all factors  $z_j^{k_j}$  with  $|x| \leq |z_j^{k_j}|$  occur in a word  $u$  which is a factor of  $xxx$  and  $|u| < |x| + |z|$  otherwise  $z^{k_i+1}$ , for some  $1 \leq i \leq n$  and  $xx$  have a common factor of length greater or equal to  $|x| + |z|$  and either  $x$  or  $z$  is not primitive.

Consider the following drawing:



Therefore, we have for every  $i$  with  $|x| \leq |z_i^{k_i}|$  that  $|z_i^{k_i+1}| < |zz|$  because  $|z_{i+1}| < |z|$  and otherwise  $z$  is not primitive. This proves the case for  $n > 3$  since then  $|z_1^{k_1} z_{i+1}^{k_{i+1}}| < |xx|$ , for every  $i$  such that  $|x| \leq |z_i^{k_i}|$  and  $|z_1^{k_1} z_2^{k_2} z_3^{k_3} \dots z_n^{k_n}| < |x^k|$ ; a contradiction.

The case  $k = 3$  remains. Since we can construct from one equation a new one of the same rank by cyclic shifts, we can assume that  $|x| \leq |z_2^{k_2}|$ . Let us consider the following drawing:



By the arguments above, we have that  $|z_1^{k_1}| < |x|$  and  $|| < |x|$ . Now,  $|z_1^{k_1-1}| < |x| < |z_1^{k_2}|$  and  $|z_1^{k_2}| < |z_1^{k_1}| + |z_3^{k_3}|$ . Let  $x = z_1^{k_2-1} z'_0$ , where  $z'_0 \in [z]$  and  $z'$  is a prefix of  $z'$ . Let  $g$  be an unbordered conjugate of  $x$  such that  $z'z' = g_1 g g_0$ , where  $g = g_0 g_1$  and  $z' = g_1 g_0$ . We get a contradiction, if  $|g_1 g| \leq |z_1^{k_1}|$  since then  $z_1^{k_1}$  covers  $g$  and hence,  $g$  is bordered. So, assume  $|g_1 g| > |z_3^{k_3}|$ . But now,  $|z_1^{k_1} z_2^{k_2}| < |x g_1|$ , since  $|g_0 z'_0 x| < |z_2^{k_2}| < |x| + |z| < |g_0 z'_0 x g_1|$  and  $g$  is covered by  $z_3^{k_3}$ ; a contradiction again.

The following example shows why the condition  $|x| \neq |z_i|$  is needed in theorem 3.

**Example 1:** Consider  $x^4 = z_1^2 z_2^2 z_3^2$ . There exists a solution  $\phi$  of rank 2 with  $\phi(x) = \phi(z^1) = a^3 b^3$  and  $\phi(z^3) = b^3$ .

Theorem 3 implies the following result by Appel and Djorup (1998).

**Theorem 4:** Let  $n \geq 2$  and  $x, z_i \in A^*$ , for all  $1 \leq i \leq n$ . If  $z_1^{k_1} z_2^{k_2} z_3^{k_3} \dots z_n^{k_n} = x^k$  with  $n \leq k$ , then  $x, z_i \in w^*$ , for some  $w \in A^*$  and all  $1 \leq i \leq n$ .

**Proof:** If  $n = 2$  the result follows from theorem 2. Assume  $n > 2$  in the following. Let  $\bar{x}$  and  $\bar{z}_i$  denote the primitive roots of  $x = \bar{x}^{\ell}$  and  $z_i = \bar{z}_i^{\ell_i}$ , for all  $1 \leq i \leq n$ , respectively.

Then we have:

$$\bar{z}_1^{\ell_1 k} \bar{z}_2^{\ell_2 k} \dots \bar{z}_n^{\ell_n k} = \bar{x}^{\ell k} \tag{2}$$

If there exists an  $i$  such that  $|\bar{z}_i| = |\bar{x}|$  then  $\bar{z}_i \sim \bar{x}$  and we have the equation:

$$\bar{z}_1^{\ell_1 k} \bar{z}_2^{\ell_2 k} \dots \bar{z}_{i-1}^{\ell_{i-1} k} \bar{z}_{i+1}^{\ell_{i+1} k} \dots \bar{z}_n^{\ell_n k} = \bar{x}^{(\ell - \ell_i) k} \tag{3}$$

Which has not a higher rank than (2). Since (3) meets our assumptions this reduction can be iterated until either  $n = 2$  or  $|\bar{z}_i| \neq |\bar{x}|$  for all  $1 \leq i \leq n$ . But, then theorem 3 gives the result.

## REFERENCES

- Appel, K.I. and F.M. Djorup, 1998. On the equation  $y^n = z_1^n z_2^n \dots z_n^n$  in free semi-group. *Tran. Am. Math. Soc.*, 134: 461-470.
- Fine, N.J. and H.S. Wilf, 1965. Uniqueness theorem for periodic functions. *Proc. Am. Math. Soc.*, 16: 109- 114.
- Halava, V., T. Harju and L. Ilie, 2000. Periods and binary words. *J. Combin. Theory Ser.*, 89: 298- 303.
- Harju, T. and D. Nowothen, 2004. The equation  $x^i = y^j z^k$  in free Semigroup, *Semigroup Forum*, 68: 488-490.
- Lentin A., 1965. Sur l' equation  $a^M = b^N c^P d^Q$  dans un monois libre, *C.R. Acad. Sci. Paris*, 260: 3242- 3244.
- Lothaire, M., 1983. *Combinatorics on Words, Volume 12 of Encyclopedia of Mathematics and Its Applications*, Addison-Wesley, Reading, M.A., Vol. 2,
- Lyndon, R.C. and M.P. Schutzenberger, 1962. The equation  $a^M = b^N c^P$  in a free group. *Michigan Math. J.*, 9: 289-298.