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Ternary Perfect Sequences with a Few Zero Elements

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Abstract: Ternary perfect sequences which include the binary perfect sequences as special cases, are introduced. The new notions of elementary transformations on ternary perfect sequences are brought forward. Necessary conditions for ternary perfect sequences with k zero elements are proposed. It is proved that there exist no ternary perfect sequences of odd lengths with one zero element and no ternary perfect sequences of odd lengths with two zero elements. It is also proved that there exist no ternary perfect sequences of any length with n adjacent zero elements and n is a positive integer which is greater than 1. An efficient search algorithm for ternary perfect sequences is given.

Key words: Ternary perfect sequences, elementary operations, periodic autocorrelation functions, energy efficiency

INTRODUCTION

Discrete-time sequences are called perfect sequences, if all the out-of-phase values of their periodic autocorrelation functions (PACF) are equal to zero. Such sequences are widely used for estimation of impulse-responses, detection of reflected waves, time synchronization, system identification and the synthesis of orthogonal matrices for source coding and so on (Fan and Damell, 1996). It is desirable to use binary sequences over the alphabet set $\{\pm 1\}$. Unfortunately, the only binary perfect sequence known to exist is of length 4, i.e., $\{1, 1, 1, -1\}$ (not counting its equivalent forms $\{-1, -1, -1, 1\}$, $\{-1, 1, 1, 1\}$ and $\{1, -1, -1, -1\}$, etc.) and it has been conjectured that no other binary perfect sequences exist [1]. Binary perfect sequences of odd lengths do not exist since all values of their autocorrelation function must necessarily be odd integers (Helleseht and Kumar, 1998). If the sequence elements are nonbinary, real- or complex-valued, then many perfect sequences can be synthesized. Multilevel perfect sequences over integers have been considered and four-level perfect sequences of lengths 12 and 28 over the alphabet set $\{\pm 1, \pm 2\}$ have been found (Li *et al.*, 2011). Ternary perfect sequences over the alphabet $\{0, \pm 1\}$ have been studied by Chang (1967), Moharir (1977), Shedd and Sarwate (1979), Ipatov (1979, 1980), Hoholdt and Justesen (1983), Luke (1988), Antweiler *et al.* (1990) and Boztas *et al.* (2010). Ternary perfect sequences of lengths $(3^n - 1)/2$, where n is odd, have been constructed by Chang using polynomials over $GF(3)$. Some combinatorial conditions necessary for the existence of the ternary perfect sequences are discussed

by Moharir. Systematic methods for synthesizing ternary perfect sequences of length $(q^{2r+1} - 1)/(q - 1)$ have been presented, where q is some power of a prime number (denoted by p) and r is an integer. For $p = 2$, a construction method based on the Singer difference sets has been given by Hoholdt and Justesen. For $p \neq 2$, Ipatov constructed a class of ternary perfect sequences by mapping the elements of a shift register sequence over $GF(p^r)$. Nonbinary "extended" PSK sequences with the perfect autocorrelation property which include ternary perfect sequences as special cases, are designed by Boztas and Parampalli. In general, it is desirable that the number of zeros in the ternary perfect sequences is as small as possible. Ternary perfect sequences with a small number of zero elements are investigated in this study.

The concept of perfect sequences is introduced in section 2. Properties of ternary perfect sequences are given in section 3. Necessary conditions for ternary perfect sequences with k zero elements are brought forward in section 4. The existence of ternary perfect sequences with one zero element is discussed in section 5 and those with two zero elements in section 6. Concluding remarks are given in section 7.

CONCEPT OF PERFECT SEQUENCES

Let $a = (a_0, a_1, \dots, a_{N-1})$ be a real-valued sequence of length (or period) N , the PACF of the sequence a is defined as:

$$R_a = \sum_{\tau=0}^{N-1} a_i a_{i+\tau}, \tau = 0, 1, 2, \dots, N-1 \quad (1)$$

where, the subscript is computed modulo N . Similarly, the periodic cross-correlation function of the sequence a and $b = (b_0, b_1, \dots, b_{N-1})$ is given by:

$$R_{ab}(\tau) = \sum_{i=0}^{N-1} a_i b_{i+\tau}, \quad \tau = 0, 1, 2, \dots, N-1 \quad (2)$$

The sequence $a = (a_0, a_1, \dots, a_{N-1})$ is said to be perfect if the PACF is given by:

$$R_a(\tau) = \begin{cases} E_a, & \tau = 0, \\ 0, & \tau \neq 0. \end{cases} \quad (3)$$

where, the energy E_a of the sequence a is given by:

$$E_a = a_0^2 + a_1^2 + \dots + a_{N-1}^2 \quad (4)$$

For most applications, the sequence a should possess a high energy efficiency η_a defined by:

$$\eta_a = \frac{\sum_{i=0}^{N-1} |a_n|^2}{N \cdot \max\{|a_n|\}} \quad (5)$$

or, equivalently, a low peak factor $1/\eta_a$. This parameter is of particular importance for sequences whose element amplitudes are not constant. The energy efficiency of any polyphase sequence is equal to 1 which is the highest possible value. For a ternary perfect sequence of a fixed length, the smaller the number of zero elements, the higher the energy efficiency.

PROPERTIES OF TERNARY PERFECT SEQUENCES

There are some transformations of ternary sequences which preserve the perfect property of PACF. Theorem 1 summarizes such transformations.

Theorem 1: Fan and Darnell (1996): Let $a = (a_0, a_1, \dots, a_{N-1})$ be a ternary perfect sequence of length N . The following transformations preserve the perfect periodic autocorrelation function of the original sequence and are respectively defined as:

$$a_n \rightarrow a_{N-n-1} \quad (n = 0, 1, 2, \dots, N-1) \quad (6)$$

$$a_n \rightarrow -a_n, \quad (n = 0, 1, 2, \dots, N-1) \quad (7)$$

$$a_n \rightarrow a_{n+m}, \quad (n = 0, 1, 2, \dots, N-1) \quad (8)$$

where, m is any integer and the subscript is computed modulo N . Furthermore, the transformed sequences resulted from Eq. 6-8 are denoted by \bar{a} , a_- and $T^m a$, respectively, where T denotes the operator that shifts the sequence cyclically to the left by one place.

We call the above transformations the elementary transformations for ternary perfect sequences. When a ternary perfect sequence can be obtained from another via some successive applications of the elementary transformations, the two sequences are said to be equivalent. The set of all sequences which are equivalent to a sequence a form an equivalent class and is denoted by $[a]$. This equivalence relationship induces a partition of the set of all ternary perfect sequences of same length. Any ternary perfect sequence chosen from an equivalence class is defined as the representative of the equivalence class and we also call it a representative ternary perfect sequence hereafter. So the set of inequivalent representative ternary perfect sequences can represent the set of all ternary perfect sequences of some fixed length.

Theorem 2: Fan and Darnell (1996): Let a and b be two ternary perfect sequences of respective lengths N_1 and N_2 which are relatively prime. By repeating the sequence a N_2 times and the sequence b N_1 times and multiplying them elementwise, the resultant product sequence forms a ternary perfect sequence of length $N_1 N_2$.

Theorems 1 and 2 can be generalized to any perfect sequences.

NECESSARY CONDITIONS FOR TERNARY PERFECT SEQUENCES WITH K ZERO ELEMENTS

For any ternary perfect sequence $a = (a_0, a_1, \dots, a_{N-1})$ with elements in $\{-1, 0, +1\}$, if there are m_+ +1s, m_- -1s and m_0 0s such that the total number of digits $N = m_+ + m_- + m_0$, then the following relationship can be shown to hold [1]:

$$(m_+ + m_-)^2 = m_+ m_- \quad (9)$$

Without loss of generality, we can assume $m_+ \geq m_-$.

By applying Eq. 9 and the properties of ternary perfect sequences, we have the following Lemma.

Lemma 3: Let $a = (a_0, a_1, \dots, a_{N-1})$ be a ternary perfect sequence of length N with m_0 0s, m_+ +1s and m_- -1s. We have:

$$N = k^2 + m_0 \quad (10a)$$

Proof: In view of Eq. 10a, we have $N = k^2 + 2$:

$$a_0 a_1 + a_1 a_2 + \dots + a_{N-2} a_{N-1} + a_{N-2} a_0 = 0 \quad (16)$$

- With two adjacent zeros

$$a_0 a_1 + a_1 a_3 + \dots + a_{N-3} a_{N-1} + a_{N-2} a_0 + a_{N-1} a_0 = 0 \quad (17)$$

Since, $a = (a_0, a_1, \dots, a_{N-1})$ is a ternary perfect sequence of length N with two zero elements, we have:

$$a_0 a_1 + a_1 a_2 + \dots + a_{N-2} a_{N-1} + a_{N-2} a_0 = 0 \quad (14)$$

$$a_0 a_1 + a_1 a_3 + \dots + a_{N-3} a_{N-1} + a_{N-2} a_0 + a_{N-1} a_0 = 0 \quad (15)$$

There are three terms on the left hand side of Eq. 14 equal to 0. Then $N-3$ must be even, namely N must be odd. However, there are four terms on the left hand side of Eq. 15 equal to 0. Then $N-4$ must be even, namely N must be even. Obviously, a contradiction is resulted. Thus there exist no ternary perfect sequences of even length N with two adjacent zero elements.

- With two non-adjacent zeros

Since, $a = (a_0, a_1, \dots, a_{N-1})$ is a ternary perfect sequence of length N with two zero elements, there are four terms on the left hand side of Eq. 14 equal to 0. Then $N-4$ must be even, namely, N must be even and hence k is a positive even integer.

Theorem 5 shows that there exist no ternary perfect sequences of odd lengths with two zero elements.

Using the efficient search algorithm for ternary perfect sequences, we have obtained that only one ternary perfect sequences of length 6 within ternary sequences of lengths less than 37 with two zero elements.

Lemma 6: Ipatov (1980): Ipatov ternary perfect sequences are a large class of ternary perfect sequences of length $N = (q^m - 1)/(q - 1)$ where m is an odd number and $q = p^s$, p is an odd prime and s is an integer.

It is obvious that $N = q^{m-1} + \dots + q + 1$ is an odd number. In view of Lemma 6 and Theorem 5, we have the following corollary.

Corollary 7: There exist no Ipatov ternary perfect sequences with two zero elements.

EXISTENCE OF OF TERNARY PERFECT SEQUENCES WITH N ADJACENT ZERO ELEMENTS

Theorem 7: There exist no ternary perfect sequences of lengths N with n zero elements which are adjacent, where n is a positive integer and $n \geq 2$.

Proof: Let $a = (a_0, a_1, \dots, a_{N-1})$ be ternary perfect sequence of length N with n zero elements which are adjacent, then, we have:

There are $n+1$ terms on the left hand side of Eq. 16 equal to 0. Then $N-(n+1)$ must be even. However, there are $n+2$ terms on the left hand side of Eq. 17 equal to 0. Then $N-(n+2)$ must be even. Obviously, a contradiction is resulted. Thus there exist no ternary perfect sequences of even length N with n zero elements which are adjacent, where n is a positive integer.

CONCLUSION

It Some properties of ternary perfect sequences are summarized. The new notions of elementary transformations on ternary perfect sequences are brought forward. Necessary conditions for ternary perfect sequences with k zero elements are proposed. It is proved that there exist no ternary perfect sequences of even lengths with one zero element and no ternary perfect sequences of odd lengths with two zero elements. It is also proved that there exist no ternary perfect sequences of any length with $n(n \geq 2)$ adjacent zero elements. Using the efficient search algorithm for ternary perfect sequences, we have obtained the result concerning the non-existence of ternary perfect sequences of lengths less than 49 with one zero element and only one ternary perfect sequences of length 6 within ternary sequences of lengths less than 37 with two zero elements. Constructing ternary perfect sequences of even lengths with two non-adjacent zero elements is an open problem.

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