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Research Article A Hybrid Method of Syntactic Feature and Latent Semantic Analysis for Automatic Arabic Essay Scoring

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Abstract

Background: The process of automated essays assessments is a challenging task due to the need of comprehensive evaluation in order to validate the answers accurately. The challenge increases when dealing with Arabic language where, morphology, semantic and syntactic are complex. **Methodology:** There are few research efforts have been proposed for Automatic Essays Scoring (AES) in Arabic. However, such efforts have concentrated on the semantic perspective by proposing Latent Semantic Analysis (LSA). The LSA is based on word-document co-occurrence, also called a 'Bag-of-words' approach. It is therefore blind to the syntactic information. This puts limitations on LSA's ability to capture the meaning of a sentence which depends upon both syntax and semantic. Therefore, using syntactical features may improve the process of evaluation. Hence, this study proposed a hybrid method of syntactic features and LSA for automatic essay scoring. Several pre-processing tasks have been performed in order to normalize the words with an appropriate format for processing. Then, the similarity matrix of LSA will be constructed using Term Frequency-Inverse Document Frequency (TF-IDF). After that, the cosine similarity will be carried out to identify the similarity among words. **Results:** Finally, part of speech (POS) tagging is applied in order to identify the syntactic feature of words within the similarity matrix. The dataset contains 61 questions related to environmental science with 10 answers for each question in, which the total number of answers is 610. **Conclusion:** The experimental results have shown that the syntactic feature improves the accuracy of AES for Arabic language.

Key words: Automatic essay scoring, automatic essay assessment, latent semantic analysis, syntactic, POS tagging

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Data Availability: All relevant data are within the paper and its supporting information files.

INTRODUCTION

Assessment plays a significant role in the educational process¹. The interest in developing educational process by exploiting the modern technologies has been dramatically grown in the last decade. Many research efforts have been proposed in terms of producing computer-based assessment systems (CbAS). Most of these efforts have been focused on objective-type questions such as Multiple Choice Question (MCQ), multiple answer and short answer¹. However, there is another type of question swhich is subjective-type questions. Such type of question is difficult to be evaluated and requires different human assessors. This type is time-consuming and requires expertise in order to provide an accurate grade. The process of automated essays assessments is a challenging task regarding to the demand of consistent evaluation to assess the answers preciously².

Hence, several researchers have addressed the problem of automated essay scoring or so-called automatic essay assessment by using various techniques³. The key characteristic behind these techniques lies on a set of manually scored essay by human in which, the essay that intended to be assessed is compared with the pre-scored essays⁴. Usually, the manually scored essays are called pre-scored essays or training essays, whereas the essay that required to be assessed by the computer is called tested essay or automated scoring essay⁵. These terms will be substitutional used in this thesis. Such comparison aims to identify a pre-scored essay that share common features with the tested one. Hence, assigning the score of this essay to the tested essay. In fact, the comparison between the manual scored and the tested essays is performed based on specific analysis. The earliest analysis was performed based on the writing style in, which the length of paragraphs, number of sentences and number of words were the core of the comparison⁶. This approach has been criticized by many researchers due to the indifference of content analysis, which may lead to some kind of cheating¹.

Therefore, researchers have become more interested in content-based approaches in, which the lexical and semantic analysis could be performed between the manual scored and the tested essays. One of these techniques is the Latent Semantic Analysis (LSA). The LSA is a useful approach that has been commonly used in the field of automated essays assessments. Latent semantic analysis is the process of identifying semantic similarity among text sets⁷. It aims to analyse a given text using synonyms and hyponym in order to conclude the meaning of such text.

In fact, several researchers have utilized latent semantic analysis in order to assess essays answers^{8,9,2,10}. The LSA is a useful approach for identifying similarity among two text set¹¹. This can be performed by analysing the manually scored answers that have been provided by teachers and comparing it with the automatic answers (by the system). Several issues have been arisen in the field of automated essays answers such as treating complex languages like Arabic language. The complexity of Arabic language lies on the association between its semantic and syntactic¹². In Arabic, in order to identify the actual meaning of certain word, it is necessary to declare its syntactic such as verb, noun, adjective and others. However, LSA does not has the ability to analyse the syntactic of a given text¹³. Therefore, this study aims to address such problem in terms of automated Arabic essay scoring.

Automatic Essay Scoring (AES) is the study that has been proposed to assess the teachers by providing an automatic approach to evaluate the score of an essay¹⁰. In fact, there are several techniques have been used for AES where the writing style, lexical analysis, semantic analysis, syntactic analysis and probabilistic approach have been examined in terms of providing scores¹⁴.

Kanejiya *et al.*¹³ have proposed an enhanced LSA for automatic assessment of student's answers based on syntactical features. Basically, LSA is concentrated on the semantic side where the syntactic features have been denied. Hence, the performance would significantly affected if the meaning of a given sentence is associated with grammar. Therefore, the authors have proposed syntactic features with LSA including POS tagging and parser which reasonably has contributed toward improve the accuracy.

Presently, a few research efforts have been proposed in terms of assessing essay in many languages such as Loraksa and Peachavanish¹⁰ who proposed an automatic scoring for essay in Thai language. This method has been developed based on Artificial Neural Network (ANN) and Latent Semantic Analysis (LSA). Basically, two vectors have been built in order to represent the term frequency of the essays and the corresponding human scores. These vectors have been combined with LSA in order to enrich the synonyms and hyponym. After that, these vectors will be used as a training set for ANN to classify the semantic. In the same manner, Ishioka and Kameda⁸ have proposed a Japanese Essay Scoring System (JESS), which has been developed based on LSA. The proposed method has concentrated on the semantic aspect by utilizing two matrices, one for the manually scored answer and the other for the automatic answer. Apparently, the similarity will be measured between the two matrices in order to identify the score.

Eventually, Arabic essay assessment has been addressed by Reafat *et al.*⁵, who have proposed a latent semantic analysis technique in order to assess free-text essay answers in Arabic. The authors have concentrated on identifying a synonym dictionary in order to measure the similarity between the manually scored and automatic answers. Then a similarity measure which is cosine similarity has been used in terms of validating the automatic answer.

Gomaa and Fahmy¹⁵ have introduced the first benchmark of Arabic dataset for automatic scoring essays which contains 610 students answers written in Arabic language. The authors have applied several similarity measures including string-based, n-gram and corpus-based similarity measures independently and with combination. Then they have applied k-means clustering approach in order to scale the obtained similarity values.

Finally, Alghamdi *et al.*¹⁶ have proposed a hybrid method for automatic essay scoring in Arabic language. In fact, the proposed method consists of hybrid of Latent Semantic Analysis (LSA) with specific linguistic features including stemming, number of words and number of spelling mistakes. Apparently, the hybrid is performed using semantic and the writing style where, the semantic analysis is being performed by LSA and the writing style is represented by the number of words and spelling mistakes.

MATERIALS AND METHODS

The research design of this study consists of five main phases as shown in Fig. 1. The 1st phase is the corpus collection, which is associated with the dataset that has been used for processing. Whilst, the 2nd phase is pre-processing, which is associated with the tasks of normalization, tokenization and stemming. The 3rd phase is synonym replacement, which aims to replace each word with its corresponding synonym in order to enhance the process of identifying semanticsimilarity. For this purpose, a domain-specific dictionary has been created. The 4th phase aims to carry out the Latent Semantic Analysis (LSA). In fact, this phase consists of two sub-phases: The 1st is the Latent Semantic Analysis (LSA) which aims to produce the similarity matrix between the selected answer with the model answer. Such sub-phase aims to carry out the standard LSA using Term Frequency-Inverse Document Frequency (TF-IDF). Hence, the 2nd sub-phase aims to carry out the modified LSA where TF-IDF will be transformed into TF-POS. In fact, this modification represents the contribution of this study where LSA will be modified syntactically using POS tagging. Therefore, a comparison will be established between the



Fig. 1: Research design

Table 1: Corpus details Gomaa and Fahmy¹⁵

| Question types | No. of questions | No. of answers |
|---------------------------|------------------|----------------|
| Define | 18 | 180 |
| Explain | 6 | 160 |
| What are the consequences | 13 | 130 |
| Why | 24 | 240 |
| Total | 61 | 610 |

standard LSA and the modified LSA where the standard LSA will be considered as a baseline. However, cosine similarity, which aims to identify the lexical similarity between the attributes within the matrix and will be applied for both standard LSA and modified LSA. Finally, the 5th phase is Evaluation, which aims to evaluate the automatic scoring compared to the manual scores.

Corpus collection: The dataset used in this study is the same that introduced by Gomaa and Fahmy¹⁵ which consists of 61 questions related to environmental science with 10 answers for each question provided by 10 students in which the total number of answers is 610. Such, questions consist of four types including "Define, explain, what are the consequences and why". Table 1 shows the details of such questions.

However, each question contains model answer that would be compared with the given answer by the students. In addition, the manual scores by the teachers have been identified in order to be compared with the automatic generated scores.

Pre-processing: This phase aims to turn the data into a suitable form by normalizing, tokenizing and stemming the data. Normalization aims to eliminate the unwanted data such as: Numbers, special characters and stopwords. According to Isa *et al.*¹⁷, the stopwords are insignificant data that can lead to inaccurate results in terms of text classification. This is due to the false indication that could be obtained by such words

for example, the stop-word "The" could occur frequently but at the same time, such frequency does not yield valuable indication¹⁷. Arabic stop-words are various and can be formed with many variations. Alajmi *et al.*¹⁸ identified several types of Arabic stop-words. Hence, this study aims to utilize the list of Arabic stop-words with its forms that have been generated by such study.

Synonym replacement: This phase aims to replace all the words with their corresponding synonyms. According to Buckeridge and Sutcliffe⁷ Latent Semantic Analysis (LSA) rely mainly on the term frequency. However, there are many cases that two words with similar meaning could occur frequently but they have different lexical forms such as 'Tool' and 'Equipment'⁷. Therefore, this study aims to construct a domain-specific dictionary in order to list all the words with its potential synonyms. Hence, replacing each word with its existing synonyms in order to unify the corresponding words. Such approach has been introduced by Runeson *et al.*¹⁹. This can significantly provide accurate results of matching among answers where students frequently use alternative words. Note that, such domain specific dictionary has been created using Arabic WordNet (AWN)²⁰.

Latent semantic analysis: Latent semantic analysis is an approach that widely used in Natural Language Processing (NLP) for identifying the similarity between two groups of text²¹. It aims to analyse the relationships among two set of documents by creating a vector space for the semantic of words, terms and concepts that occurred in both documents. This can be performed by vectoring the words into two rows and columns where the words are represented in the rows and the documents represented in the columns. Then using the theory of words frequency, LSA can identify important relationship by counting the frequency of words¹⁴. Figure 2 shows the framework of such standard LSA.

Consider three answers and A_1 , A_2 and A_3 , which have sentences as shown in Table 2.

In order to represents the mentioned answers via LSA, a matrix X is being constructed in which the unique words of the three answers are represented as rows and the answers represented as columns as shown in Table 3.

Table 3 shows that the matrix will be populated based on TF-IDF in which the word frequencies will be assigned using 1 or 0 where 1 indicates the presence and 0 indicates the absence in accordance to the corresponding answer.

Considering the high dimensionality of given words, a post-processing task called Singular Value Decomposition



Fig. 2: Standard LSA

| Table 2: S | ample of | answers |
|------------|----------|---------|
|------------|----------|---------|

Lead

Soil

Erosion

| Contents | ents Meanings | | | | | |
|----------------------|----------------|----------------------------------|--------------------------------|--|--|--|
| A ₁ | | Lack water affect soil ero | Lack water affect soil erosion | | | |
| A ₂ | | Soil erosion | Soil erosion | | | |
| A ₃ | | Overgrazing animals leads soil e | | | | |
| Table 3: LSA represe | entation | | | | | |
| | A ₁ | A ₂ | A3 | | | |
| Abundance | 1 | 0 | 0 | | | |
| Lack | 1 | 0 | 0 | | | |
| Water | 1 | 0 | 0 | | | |
| Grazing | 0 | 0 | 1 | | | |
| Over | 0 | 0 | 1 | | | |
| Animals | 0 | 0 | 1 | | | |
| Affect | 1 | 0 | 0 | | | |

0

0

1

(SVD) will be applied in order to reduce the dimensionality of the words matrix. In particular, SVD aims to decrease the number of rows without losing the similarity structure among the columns. The SVD can be calculated using the following Eq. 1:

$$SVD = S\Sigma U^{T}$$
(1)

0

1

1

1

1

1

where, S is the eigenvector of the product of the matrix and the transpose X^T (XX^T), U^T is the eigenvector of the product of the transpose X^T by the matrix X (X^T X) and Σ is the square root of eigenvalue of (X^T X).

After applying SVD, the cosine similarity will be computed between each pair of answers in order to identify the similarity among them. The cosine is calculated as Eq. 2:

Cosine similarity (A,B) =
$$\frac{A.B}{\|A\| \times \|B\|}$$
 (2)

where, A is the frequencies sequence of answer A_i and B is the frequencies sequence of answer A_{i+1} .

Modified LSA: The LSA uses TF-IDF in terms of representing the word's vector. Hence, LSA has a main drawback which lies in denying the syntactic aspect of the words¹³. In Arabic



Fig. 3: Modified LSA

Table 4: TF-POS matrices

| Nouns | | | Verbs | Verbs | | | | Adjectives | | | |
|---------|----------------|----------------|----------------|---------|----------------|----------------|----------------|------------|----------------|----------------|----------------|
| | A ₁ | A ₂ | A ₃ | | A ₁ | A ₂ | A ₃ | | A ₁ | A ₂ | A ₃ |
| Water | 1 | 0 | 0 | Grazing | 0 | 0 | 1 | Lack | 1 | 0 | 0 |
| Animals | 0 | 0 | 1 | Affect | 1 | 0 | 0 | Over | 0 | 0 | 1 |
| Soil | 1 | 1 | 1 | Lead | 0 | 0 | 1 | | | | |
| | | | | Erosion | 0 | 1 | 1 | | | | |

language, the meaning of words is associated with the syntactic of words for instance, the word 'عصر' which yield multiple meaning including 'Afternoon', 'Era' and 'Squeeze'. Each meaning cannot be determined without using the syntactic aspect. Therefore, to identify the meaning, first it would be compulsory to identify its syntactic tag where the verb tag will refer to 'Squeeze', whereas noun tag will refer to both of 'Era' and 'Afternoon' meaning. Therefore, this study aims to propose a modified LSA using a syntactic feature. Such syntactic feature is part-of-speech (POS) tagging. The following sub-section describes it in further details.

POS tagging: Part-of-speech tagging is one of word sense disambiguation methods that aims to assign each word in certain text with a fixed set of parts of speech such as, noun, verb, adjective or adverb²². There are many words that have several potential tags thus, POS have been come up in order to disambiguate these words. Therefore, the main role of POS is to determine the exact tagging for each word in the corpus.

In fact, the proposed modified LSA aims to combine POS tagging with Term Frequency (TF) in order to consider the syntactic aspect in the word's vector. This has been performed by adopting TF-IDF to be TF-POS. Instead of listing all the words in the vector space, TF-POS aims to list the nouns, verbs, adjectives and others in separated vectors in respect to the answer. Figure 3 shows the framework of the proposed modified LSA.

Considering the mentioned example in LSA (three answers A_1 , A_2 and A_3) which stated as follows:

- A_1 = Lack of water leads to soil erosion
- A_2 = Soil erosion
- A₃ = Overgrazing of animals helps soil erosion

Instead of listing all the words in the vector in respect to the answers, the proposed syntactical approach TF-POS will distribute the words based on their tags. Hence, the proposed TF-POS will represent the words as in Table 4.

Table 4 shows that, the words have been divided into three vector representations based on noun, verb and adjectives. Then the Term Frequency (TF) has been identified for each word in respect to the corresponding answer. There are two main advantages of such representation. First, it can analyse the words based on the syntactical aspect whether verb, noun, adjective and others, which has a significant impact on the accuracy of automatic scoring in which each tag will be compared with its corresponding (i.e., verb with verb, noun with noun, adjective with adjective, etc.). Second, there is no need to apply the process of SVD, which requires high computational performance and complex tasks. In fact, SVD aims to reduce the dimensionality of the word's vector. Hence, the proposed TF-POS approach uses the divide and conquer strategy by utilizing multiple vectors based on the tags of words. This has the ability to reduce the dimensionality of word's vector.

Finally, cosine similarity will be applied to measure the similarity between the answers. Considering that there are multiple vectors so that, the average-cosine similarity has been used in order to identify the total average of similarity. This can be computed as Eq. 3 and 4:

$$Avg - cosine (A_1, A_3) = \frac{\sum cosine (A_1, A_3)^{Noun} + cosine (A_1, A_3)^{Verb} + cosine (A_1, A_3)^{Adjective}}{No. of tags (3)}$$

$$Avg - cosine (A_2, A_1) = \frac{\sum cosine (A_2, A_1)^{Noun} + cosine (A_2, A_1)^{Verb} + cosine (A_2, A_1)^{Adjective}}{No. of tags (3)}$$

$$(4)$$

The resulted values will be considered as the automatic score.

Evaluation: The corpus that used in this study contains the manual scores for each answer that have been performed by teachers. Therefore, the evaluation will be held by comparing the automatic scores generated by the proposed method with the manual scores. Such comparison aims to identify the similarity between the two sets in which the Root Mean Square Error (RMSE) will be computed. Due to the scores are real values, therefore euclidean distance has been used in order to calculate RMSE. In mathematics, euclidean distance is used to measure the distance between two points²³. Therefore, the accuracy of the proposed method depends on

Modified LSA

how the automatic and manual score is similar to each other based on euclidean distance. The accuracy can be calculated as Eq. 5:

$$RMSE = \sqrt{\left(S_{\rm M} - S_{\rm A}\right)^2} \tag{5}$$

where, S_M is the manual score and is the automatic score. Hence, the smaller value resulting from the previous equation, the closer distance is actually between the manual and automatic scores which leads to better accuracy of scoring.

RESULTS AND DISCUSSION

The dataset consists of answers for 10 students with a model answer in respect to 61 questions. This leads to 610 answers toward three types of questions including 'Define, explain, what are the consequences and why'. Hence, the experiments were performed based on 8 student's answers as training set (including model answer) and 3 student's answers as testing in which the evaluation is being made upon the testing set. In addition, the average values of root mean square error have been considered for all the questions. Table 5 shows the final results based on RMSE.

Table 5 shows that the results for the three students have been provided for all the answers using the standard LSA (using TF-IDF) and the modified LSA (using TF-POS). For student 1, the modified LSA has outperformed the standard LSA by achieving an average root square error of 0.2601 which is less than the average root square error for TF-IDF which is 0.2603. Although, such superiority was slightly significant however, the result of student 2 has shown a remarkable enhancement where the modified LSA has obtained an average root square error of 0.2695 compared to 0.2976 achieved by the standard LSA. As well as, the result of student 3 shown an enhancement too where the modified LSA has achieved 0.2752 of root square error compared to 0.2819 obtained by the standard LSA.

On the other hand, a comparison with a baseline should be provided in order to declare the enhancement. For this purpose, the study of Gomaa and Fahmy¹⁵ has been addressed in which the benchmark that used in this study has been collected from such study. In fact, Gomaa and Fahmy¹⁵ have applied multiple similarity approaches including stringbased, corpus-based and knowledge-based. Since, LSA is a corpus-based similarity approach thus, the comparison will be restricted between the proposed modified LSA and the corpus-based approach used by Gomaa and Fahmy¹⁵ which is called distributional semantic co-occurrence. Note that, the

| Table 5: Comparison | among th | ne three qu | lestion typ | oes | | |
|-------------------------------|------------------------------|-------------|-------------|--------|-----------|-----------|
| | Student 1 | | Student 2 | | Student 3 | |
| | | | | | | |
| Question types | TF-IDF | TF-POS | TF-IDF | TF-POS | TF-IDF | TF-POS |
| Define | 0.2273 | 0.2482 | 0.2549 | 0.2284 | 0.1833 | 0.2207 |
| Explain and what are | 0.2579 | 0.2578 | 0.3260 | 0.2859 | 0.2840 | 0.2537 |
| the consequences | | | | | | |
| Why | 0.2956 | 0.2743 | 0.3120 | 0.2942 | 0.3784 | 0.3512 |
| Average | 0.2603 | 0.2601 | 0.2976 | 0.2695 | 0.2819 | 0.2752 |
| | | | | | | |
| Table 6: Comparison | with base | line | | | | |
| Method | Root Mean Square Error (RMS) | | | | | or (RMSE) |
| Gomaa and Fahmy ¹⁵ | 0.745 | | | | | |

| | Student I | | Student 2 | | student s | | |
|-----------------------------------|-----------------------------------|--------|-----------|--------|-----------|--------|--|
| | | | | | | | |
| Question types | TF-IDF | TF-POS | TF-IDF | TF-POS | TF-IDF | TF-POS | |
| Define | 0.2273 | 0.2482 | 0.2549 | 0.2284 | 0.1833 | 0.2207 | |
| Explain and what are | 0.2579 | 0.2578 | 0.3260 | 0.2859 | 0.2840 | 0.2537 | |
| the consequences | | | | | | | |
| Why | 0.2956 | 0.2743 | 0.3120 | 0.2942 | 0.3784 | 0.3512 | |
| Average | 0.2603 | 0.2601 | 0.2976 | 0.2695 | 0.2819 | 0.2752 | |
| | | | | | | | |
| Table 6: Comparison with baseline | | | | | | | |
| Method | iod Root Mean Square Error (RMSE) | | | | | | |

comparison is being held based on the total (i.e., average) results for all answers. Table 6 shows such results.

0.268

Table 6 shows that, there is a significant enhancement has been obtained by the proposed modified LSA compared to DISCO approach in the baseline. This can be noticed by the value of RMSE of DISCO which is 0.745 compared to the modified LSA which achieved 0.268. This out performance of LSA is due to its ability to represent the words based on frequency manner rather than the mutual information used by DISCO²⁴.

CONCLUSION

This study attempts to resolve the drawback of LSA which lies on the limited syntactic analysis provided by LSA. This has been performed by proposing a modified LSA for automatic essay scoring using Arabic essay answers. The modification that made for the LSA is represented by providing syntactic feature of POS tagging where the Term Frequency-Inverse Document Frequency (TF-IDF) is being transformed into TF-POS in order to analyse the syntactical class of words based on semantic analysis. Generally, this study does not address the use of machine learning. In fact, as a future direction in AEA, classifying the score would bring valuable outcomes.

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